**NJIT RESEARCH CONFLICT OF INTEREST**

**DISCLOSURE FORM**

|  |  |  |  |
| --- | --- | --- | --- |
| **Faculty/ Staff Member Name:** | | |  |
| **Department:** |  | | |
| **Proposal Title:** | |  | |
| **Sponsor/Agency Submitted to:** | | |  |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Disclosure is made to:** | | |  |  | | | | |
|  | Apply for initial funding |  | | Apply for funding renewal |  | Reflect & change in financial interest on a project in process |

In accordance with the Financial Conflict of Interest Policy, I hereby disclose the following significant financial interests (including that of my spouse and dependent children which jointly equals or exceeds **$5,000**) (i) that would reasonably appear to be affected by the research or educational activities funded or proposed for funding and (ii) in entities whose financial interests would reasonably appear to be affected by the research, or educational activities funded or proposed for funding.

***(Please see the NJIT Grants and Conracts – Financial Conflict of Interest Policy for definitions of “Significant Financial Interest” and exemptions from reporting requirements below).***

**Significant Financial Interests Include (check all applicable):**

|  |  |
| --- | --- |
|  | Salary or any payment for services not otherwise identified as salary (e.g. consulting fees, honoraria, and paid authorship other than university salary, or university-paid royalties. |
|  |  |
|  | Equity interests (e.g. stocks, stock options, or ownership interests); |
|  |  |
|  | Intellectual property rights and interests (e.g. patents, copyrights, and royalties from such rights); |
|  |  |
|  | **Remuneration received from the sponsoring entity in the twelve months preceding the disclosure and the value of any equity interest in the sponsoring entity as of the date of the disclosure;** |
|  | **OR** |
|  | I have no significant financial interests to report with respect to this proposal for funding. |

For all “significant financial interests” identified above, please describe the financial interests which might be directly and significantly affected by the funding proposal on a separate page, and attach all supporting documentation (in an envelope marked “Confidential”) that identifies the business entity or enterprise involved and the nature and amount of the interest.

**Further, I agree:**

1. To update this disclosure during the pendency of the award, either on an annual basis, or as new reportable significant financial interests are obtained (please refer to the NJIT Grants and Contracts – Financial Conflict of Interest Policy for a list of disclosure requirements);
2. To cooperate in the development of a Resolution Plan, in the event that a potential conflict of interest has been identified;
3. To comply with the terms of such Resolution Plan and any conditions or restrictions imposed by the university to manage, reduce or eliminate actual or potential conflicts of interest.

***I certify that I have used all reasonable diligence in preparing this Disclosure of Significant Financial Interests and that to the best of my knowledge the information contained herein is true and accurate. I further certify that I read, understand, and will abide by University Policies, including but not limited to, the Grants and Contracts – Financial Conflict of Interest Policy, the Uniform Ethics Code and the NJIT Supplemental Code of Ethics.***

|  |  |  |
| --- | --- | --- |
|  | Date: |  |

Faculty/Staff Member Signature (original signature only)

**REVIEWER’S STATEMENT**

**I have reviewed the attached and have determined:**

|  |  |
| --- | --- |
|  | No Conflict of Interest exists. |
|  |  |
|  | A Conflict of Interest does not exist, as the financial interest disclosed is significant |
|  |  |
|  | A Conflict of Interest may exist, and has been forwarded to the Executive Committee for Technology Development for further review. |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  |  | |  |  |  |
| Reviewer Signature (original signature only) | | Reviewer Title | | | Date |

**Links:**

1. [NJIT Policy, Grants and Contracts – Financial Conflict of Interest Policy](http://www.njit.edu/policies/pdf/PP-13-01-Financial-Conflict-of-Interest-Policy-8-23-12.pdf)

http://www.njit.edu/policies/pdf/PP-13-01-Financial-Conflict-of-Interest-Policy-8-23-12.pdf

1. [Uniform Ethics Code](http://www.njit.edu/policies/pdf/uniformcode.pdf)

http://www.njit.edu/policies/pdf/uniformcode.pdf

1. [NJIT Supplemental Code of Ethics](http://ethics.njit.edu/docs/supplemental-ethics-code.pdf)

http://ethics.njit.edu/docs/supplemental-ethics-code.pdf